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FAIS regulatory examinations are in no way unique to South Africa. In addition, the regulatory examinations also test the financial advisors' knowledge and understanding of the Financial Advisory and Intermediary Services Act (FAIS Act) under which they carry out their business. The FAIS Act came into effect on

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30 September 2004. The objective

FAIS Regulatory Examinations

Daily RE Questions. By Paul Kruger on 23 January 2015 . 1: ...
The questions are based on very specific qualifying criteria set out in the FSB preparation guide. Any training that does not have this as a basis will not prepare you properly for the exam. ...
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This regulatory examination focuses on your role and responsibilities as a representative, and what you need to know about the FAIS Act in order to remain compliant. The content of this examination focuses on the regulatory framework, i.e. FAIS, FICA, Code of Conduct, etc, as prescribed by the FSB. This examination consists of 50 questions.

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RE1 Workshop | RE5 Workshop | Exam Preparation Workshops ...

Regulatory examination – It is accepted international practice and standard to set professional examinations for professions. The FAIS regulatory examinations are, therefore, not unique. The purpose of the regulatory examination is to set a minimum standard in terms of the following:

RE5 Study Material pdf | Workshops for RE1 and RE5 Exams

Key individual regulatory exam workshop (RE1): 2 days. Workshops can be arranged on site at your offices or a selected venue. The key individual regulatory exam workshop is held over a two-day period. This is an intensive workshop where the entire content of the material is covered as well as practice questions, exam tips and techniques.

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Applied Learning | FAIS Regulatory Exams Resource Centre

Below is a list of downloadable materials that will help you prepare for the regulatory examination. ... FC52011 FAIS Circular - Examination Cancellation Clause - 9 May 2011; FSB media release 8 April 2011 (RE in Afrikaans) FC32011 FAIS Circular - RE preparation - 17 March 2011 ... Frequently asked questions

Exam resources - fpi.co.za

Practice multiple-choice exams. The practice exam consists of multiple-choice questions (MCQs). A detailed report on your strengths and development areas is issued with each practice exam. This allows you to assess your own RE exam readiness. Please note that these practice exams are not a replacement for the FSCA Regulatory Exams. You are ...

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Regulatory Examinations - Milpark Education

The regulatory exams are being developed and delivered under the direction and management of the FSB. Four examination bodies were appointed to assist with the development of the regulatory exam questions and the delivery on a national basis. Currently there are two examination bodies:

PREPARATION GUIDE FOR LEVEL 1 REGULATORY EXAMINATIONS (RE ...

Seminar Information Masthead can assist you with your preparation for the Level 1 Regulatory Exam to ensure that you are ready to tackle it with. ... able to complete the RE 1 and RE 5 examinations and have a better understanding of what is required with regard to the FAIS Act. ... enabling me to answer many of the exam questions more ...

RE 1 and RE 5 Exam Training - Masthead

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The material in this PREPARATION GUIDE FOR REGULATORY EXAMINATIONS (RE 1 AND RE 5) and APPENDIX A is ... examination bodies were appointed to assist with the development of the regulatory exam questions and the delivery on a national basis. Currently there is one examination body: ... The FAIS Act as amended by the Financial Services Laws ...

Applicable for Examinations as from 1 February 2019 ...

An Exam Strategy that has worked. ... A simple bit of arithmetic will show that 35 of the 50 questions in the Rep's exam are taken from levels 1 and 2. This constitutes 70% of the questions. ... Provision of all level 1 FAIS Regulatory examination! For Venues and How to register. Downloads available: Preparations guides

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(FSCA) to discontinue the delivery of the Regulatory Examination (RE) [through its examination body division], will cease to deliver regulatory exams with immediate effect. ... Frequently asked questions

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of the FAIS Act and the general Code of Conduct 44 1.10 The roles and responsibilities of the key individual in terms of FAIS 48 Summary 64 Self-Assessment Questions 65 TOPIC 2: CONTRIBUTE TOWARDS OBTAINING AN FSP LICENCE 69 2.1 The requirements that an FSP must meet to maintain a licence 70

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The FAIS Act regulates the business of all financial service providers and intermediaries who give advice or provide intermediary services to clients. The Act aims to professionalise the financial services industry and to provide adequate

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consumer protection. The FAIS Act follows a functional approach and not an institutional approach.

RE 5 Self Study Guide - Representatives V5

FAIS Exams are hard, but perseverance pays off A group of learners who recently participated in one of the FAIS Regulatory Exam workshops sponsored by INSETA. "If you don't pass the Financial Advisory and Intermediary Services Act (FAIS) Exams first time around, perseverance, discipline and the right training seem be to be the key to ...

FAIS Exams are hard, but perseverance pays off

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Integrity Academy - RE5 Mock Exam (Level 1 and 2 Questions)

RE5 Presentation video in preparation of the Regulatory Examination for Representatives prescribed under FAIS.

RE5 Presentation May 2017

regulatory examination. The assessment questions are intended to assist you in determining the extent to which you have integrated the required knowledge and whilst every effort has been made to provide questions that mirror the examination question, we cannot guarantee that these questions reflect the exact examination questions.

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